Drawing a graph in 3 pages within its isotopy class in linear time

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Models of knotted structures





Knotted structured in nature are graphs with vertices, not simple closed loops like knots/links.

Spatial (knotted) graphs in \mathbb{R}^3

Def: a spatial graph is an embedding of a finite non-oriented graph $f : G \to \mathbb{R}^3$. So the image f(G) has no self-intersections, but may have double crossings under a planar projection.

If $G \approx S^1$, the spatial graph $S^1 \subset \mathbb{R}^3$ is a knot. If $G \approx \sqcup_{i=1}^m S_i^1$, the spatial graph is called a link.

Isotopy of spatial graphs

Def: an ambient isotopy between spatial graphs $G, H \subset \mathbb{R}^3$ is a continuous family of ambient homeomorphisms $F_t : \mathbb{R}^3 \to \mathbb{R}^3$, $t \in [0, 1]$, where $F_0 = \mathbf{id}$ on \mathbb{R}^3 and $F_1(G) = H$.



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Reidemeister moves

Thm: diagrams represent isotopic graphs *if and only if* they are connected by a plane isotopy and finitely many moves of these 5 types:



Move *R*5 is only for rigid graphs that have a neighborhood of any vertex in a moving plane.

Gauss code of a knot diagram

Def : fix orientations of edges, label crossings by 1, ..., *n*. Each crossing has a sign $\varepsilon \in \{\pm\}$.



To get a Gauss code, starting from a base point write a word for each edge: *i* for *i*-th overpass, i^{ε} for *i*-th underpass. Trefoil: $12^+31^+23^+$.

Gauss code of a graph diagram

For a diagram of $G \subset \mathbb{R}^3$ we label vertices and encode crossing along each edge of *G*, note a cyclic order of edges at a vertex of deg > 3.



The red graph has 3 words AB, $A1^-2A$, $B12^-B$. A Gauss code determines a plane diagram and is not unique in the isotopy class of $G \subset \mathbb{R}^3$.

Realizability of Gauss codes

An abstract Gauss may not be realizable in \mathbb{R}^2 .

Code 12^+1^+2 has a diagram on a torus, not \mathbb{R}^2 .

One approach is to allow any virtual crossings.



To draw a graph starting from a code, we solve **planarity problem**: which codes are realizable?

Graph G(W) of a Gauss code W

For an abstract Gauss code *W* with *m* letters A, B, C, \ldots (for vertices) and 2n symbols from $\{i, i^+, i^-\}, i = 1, \ldots, n$ (for crossings), we build the graph *G*(*W*) with *m* + *n* vertices labeled by *A*, *B*, *C*, ... and 1, 2, ..., *n* (without signs).

Connect vertices p, q by an edge in G(W) if p, q (possibly with signs) are adjacent in W.

 $W = 12^+31^+23^+$, G(W) is a 'doubled' triangle with 2 edges between pairs of vertices 1, 2, 3.

Carter surface of a Gauss code

Def : define cycles in G(W) by the rule 'always turn left'. The Carter surface S(W) is obtained by attaching a disk along each cycle in G(W).



A Gauss code *W* is realizable $\Leftrightarrow S(W) = S^2$. Linear time algorithm: check that $\chi(S(W)) = 2$.

2-page embedding in linear time

Th (G.L.M.S. ISAAC'07): any planar graph *G* can be embedded into \mathbb{R}^2 with all |V| vertices in *x*-axis and max 1 bend per edge in time O(|V|).



This max non-hamiltonian graph requires bends.

3-page embedding in linear time

Th (VK'14): for a Gauss code *W* of a graph $G \subset \mathbb{R}^3$, an algorithm of complexity O(|W|) draws a 3-page embedding of a graph *H* isotopic to *G* having max 8|W| intersections.



A 3-page embedding $K_5 \subset T_3 \times \mathbb{R}$

Theoretic construction: draw a curve α without any self-intersections that passes through each vertex and crossing of a diagram, deform \mathbb{R}^2 to make α straight, push overpasses into page 3.



Why 3-page embeddings?

3-page embeddings are encoded by words in

this alphabet (similarly for vertices of deg \neq 4)



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Isotopy of graphs \Leftrightarrow word problem

Th (VK'07): all central elements of a finitely presented semigroup *uniquely encode* all isotopy classes of graphs with vertices deg $\leq n$.

Typical local relations on 3-page embeddings:



15 generators, 84 relations for singular knots.

Summary and future work

- all spatial graphs in ℝ³ are encoded by Gauss codes with a linear decision time
- any spatial graph G ⊂ ℝ³ is isotopic to a 3-page embedding found in linear time
- from real data to theoretical models: recognize knots from a noisy 3D cloud, or from a 2D image (more challenging)
- collaboration is welcome! kurlin.org/blog